

## METHOD AND APPARATUS FOR BROADBAND CHROMATIC DISPERSION SLOPE TUNING

### CROSS REFERENCE TO RELATED APPLICATION(S)

5 This application claims the benefit of U.S. provisional application No. 60/455,448, filed on March 18, 2003, the contents of which are incorporated herein by reference.

### BACKGROUND OF INVENTION

As more operational bandwidths are being used at higher modulation rates in telecommunication transmission fibers, signal anomalies resulting from the characteristics of such fibers need to be more accurately compensated for. One signal anomaly is chromatic dispersion. In chromatic dispersion, different wavelengths of light travel at different speeds down a transmission fiber, thereby causing light pulses encoded on such wavelengths to smear and merge together.

15 This smearing and merging results in the inability to distinguish neighboring bits in the optical data stream at the end of transmission and, if not corrected, results in bit errors.

A common method to correct chromatic dispersion is to reverse its effects; that is, to pass the smeared and merged data pulses through a material that 20 negates the transmission fiber's chromatic dispersion. This undoing of chromatic dispersion by sending chromatically dispersed light through a material that has the reverse, or negative, amount of chromatic dispersion that the transmission fiber has is called dispersion compensation.

A related signal anomaly arising from the characteristics of transmission fibers is chromatic dispersion slope. The chromatic dispersion induced by transmission fibers is often wavelength-dependent. More particularly, chromatic dispersion typically changes roughly linearly with wavelength over an operational bandwidth, for example, an International Telecommunications Union (ITU) transmission channel, and this chromatic dispersion slope generally persists over multiple operational bandwidths. In other words, a transmission fiber typically has associated with it both an intra-channel, or "in-band", slope and an inter-channel, or "broadband", slope.

As with correction of chromatic dispersion, a common method to correct chromatic dispersion slope is to reverse its effects. However, known methods to correct broadband chromatic dispersion slope in particular have proven suboptimal. Known methods have included channel-by-channel approaches applied after wavelength demultiplexing, for example, using fiber Bragg gratings or electronics, and certain planar waveguide approaches. Channel-by-channel approaches have generally been suboptimal because they have required mux/demux overhead. Planar waveguide approaches have generally been unsuitable because they have not been able to provide sufficient flexibility in their wavelength dependent coupling constants to tune effectively across a broad spectral band.

## SUMMARY OF INVENTION

The present invention, in one feature, provides a method and system for broadband (i.e. inter-channel) chromatic dispersion slope tuning using a pair of dispersion compensation blocks (DCBs) and mode hopping. The DCBs are applied in series to a train of chromatically dispersed light pulses received over a transmission fiber on multiple operational bandwidths. The DCBs are arranged to apply a substantially equal and opposite intra-channel dispersion slope at the operational bandwidths, resulting in a net near-zero intra-channel dispersion slope at the operational bandwidths. Moreover, at least one of the DCBs is adjustable to change to a different mode number from the other, resulting in a net non-zero inter-channel dispersion slope across the operational bandwidths.

The present invention, in another feature, provides a method and system for broadband chromatic dispersion slope tuning using paired DCBs and symmetric intra-channel slope adjustment with mode mismatch. The DCBs are applied in series to a train of chromatically dispersed light pulses received over a transmission fiber on multiple operational bandwidths. The DCBs are arranged to apply a substantially equal and opposite intra-channel dispersion slope at the operational bandwidths, resulting in a net near-zero intra-channel dispersion slope at the operational bandwidths, and are arranged to operate on different mode numbers, resulting in a net non-zero inter-channel dispersion slope across the operational bandwidths. Moreover, the DCBs are adjustable to change to a steeper or less steep substantially equal and opposite intra-channel dispersion

slope at the operational bandwidths, retaining the net near-zero intra-channel dispersion slope while inducing a steeper or less steep net inter-channel dispersion slope.

Each DCB preferably comprises a group of one or more etalons. The  
5 adjustments may be made through, for example, thermal, microactuator-driven,  
or electric field tuning.

The present invention, in another feature, provides a method and system  
for dispersion compensation comprising a dispersion compensator (DC) for  
receiving a train of chromatically dispersed light pulses over a transmission fiber  
10 at multiple operational bandwidths and inducing on the train a compensatory  
dispersion having an adjustable inter-channel dispersion slope. The DC  
preferably comprises a DCB pair as generally described above.

The present invention, in another feature, provides a method and system  
for dispersion compensation comprising a first DC for receiving a train of  
15 chromatically dispersed light pulses over a transmission fiber on multiple  
operational bandwidths and inducing on the train a first compensatory  
dispersion; and a second DC for receiving the train from the first DC and  
inducing on the train a second compensatory dispersion, wherein the second  
compensatory dispersion has an adjustable inter-channel dispersion slope. The  
20 first DC preferably comprises a dispersion compensating fiber (DCF). The second  
DC preferably comprises dispersion equalization module (DEM) having a DCB pair  
as generally described above.

These and other features of the invention will be better understood by reference to the detailed description of the preferred embodiment, taken in conjunction with the drawings which are briefly described below. Of course, the invention is defined by the appended claims.

## 5 BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows a dispersion compensation system having a DCF and a DEM, serially arranged on an optical path, in a preferred embodiment of the invention;

10 Figure 2A shows a thermally tunable etalon operative within a DCB in a preferred embodiment of the invention;

Figure 2B shows a microactuator tunable etalon operative within a DCB in a preferred embodiment of the invention;

Figures 3A through 3C illustrate inter-channel dispersion slope adjustment through mode hopping, and in particular:

15 Figure 3A shows the chromatic dispersion profile of a first DCB (CD1), a second DCB (CD2), and the sum thereof (CD SUM) within each channel when the first DCB and second DCB are operative on the same mode (M);

Figure 3B shows the chromatic dispersion profile of a first DCB (CD1), a second DCB (CD2), and the sum thereof (CD SUM) within each channel when the  
20 first DCB is operative on a mode (M-m) and the second DCB is operative on a higher mode (M);

Figure 3C shows the chromatic dispersion profile of a first DCB (CD1), a second DCB (CD2), and the sum thereof (CD SUM) within each channel when the first DCB is operative on a mode ( $M+m$ ) and the second DCB is operative on a lower mode (M);

5 Figures 4A through 4C illustrate inter-channel dispersion slope adjustment through symmetric intra-channel dispersion slope adjustment with mode mismatch, and in particular:

10 Figure 4A shows the chromatic dispersion profile of a first DCB (CD1), a second DCB (CD2), and the sum thereof (CD SUM) within each channel when the first DCB is operative on a mode ( $M+m$ ), the second DCB is operative on a lower mode (M), and the intra-channel dispersion slopes of the first and second DCBs are of medium magnitude;

15 Figure 4B shows the chromatic dispersion profile of a first DCB (CD1), a second DCB (CD2), and the sum thereof (CD SUM) within each channel when the first DCB is operative on a mode ( $M+m$ ), the second DCB is operative on a lower mode (M), and the intra-channel dispersion slopes of the first and second DCBs are of large magnitude; and

20 Figure 4C shows the chromatic dispersion profile of a first DCB (CD1), a second DCB (CD2), and the sum thereof (CD SUM) within each channel when the first DCB is operative on a mode ( $M+m$ ), the second DCB is operative on a lower mode (M), and the intra-channel dispersion slopes of the first and second DCBs are of small magnitude.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

In Figure 1, a dispersion compensation system having a DCF 110 and a DEM 120, serially arranged along an optical path, is shown. DCF 110 is a dispersion compensating fiber for reversing bulk chromatic dispersion  
5 accumulated on light pulses during transmission on a telecommunication transmission fiber. DEM 120 is a dispersion compensator for reversing residual chromatic dispersion and chromatic dispersion slope remaining on the light pulses after application of DCF 110. DEM 120 has a pair of DCBs 122, 124 serially arranged on the optical path. DCBs 122, 124 are Gires-Tournois etalon  
10 (GTE) based dispersion compensators each having a group of one or more GTEs. The group of GTEs within each DCB, where the DCB consists of more than one GTE, are also serially arranged along the optical path and provide a group dispersion and a group dispersion slope which are the effective dispersion and dispersion slope, respectively, for the DCB. The group of GTEs within each DCB  
15 also operate on group mode number which is the effective mode number for the DCB, although individual GTEs in the DCB may operate on different mode numbers.

In operation, a train of light pulses at multiple operational bandwidths arrives at DCF 110 after transmission on a long haul dense wave division  
20 multiplexing (DWDM) transmission fiber with significant chromatic dispersion and a chromatic dispersion slope accumulated during transmission on the fiber. As the train traverses DCF 110, DCF 110 eliminates most of the chromatic

dispersion and partially compensates for the chromatic dispersion slope. However, some residual chromatic dispersion and chromatic dispersion slope remain. The train then traverses DEM 120, where the residual chromatic dispersion is reduced to near zero and the chromatic dispersion slope is nearly 5 fully compensated.

At least one of DCBs 122, 124 is tunable to adjust the inter-channel dispersion slope induced by DEM 120 on the train incident from DCF 110. This tuning may be achieved using various techniques alone or in combination. Such techniques include, without limitation, microactuator-driven changes to one or 10 more GTEs within one or more of DCBs 120, 124, or changes to the environment in which one or more GTEs operate induced thermally or through electric field manipulation.

Turning to Figure 2A, a thermally tunable GTE suitable for application within one of DCBs 122, 124 is shown. The GTE has a first mirror 210 that is 15 partially reflective and a second mirror 220 that is fully reflective. Light 230 arriving from, for example, DCF 110 enters and exits the GTE through first mirror 210. The GTE subjects different wavelength components of light 230 to variable delay due to its resonant properties. That is, the partial reflectivity of first mirror 210 causes certain wavelength components of light 230 to be restrained in the 20 cavity 240 between first mirror 210 and second mirror 220 longer than others. More particularly, the GTE imposes a wavelength-dependent time delay on the wavelength components of light 230 which, when implemented with other GTEs

in its group and its counterpart DCB, reverses the residual inter-channel dispersion slope of light 230.

Thermal tuning is accomplished by selective activation of temperature controller 200, which raises or lowers the temperature of the GTE by a desired 5 number of degrees ( $\Delta T$ ). Raising or lowering the temperature changes the length and the refractive index of cavity 240, thereby inducing a resonance point shift on the GTE. This, in turn, changes the mode number and/or intra-channel dispersion slope of the DCB in which the GTE is operative.

Turning to Figure 2B, a microactuator tunable GTE suitable for application 10 within one of DCBs 122, 124 is shown. The GTE has a first mirror 260 that is partially reflective and a second mirror 270 that is fully reflective of incident light 280, and the partial reflectivity of first mirror 260 causes certain wavelength components of light 280 to be restrained in the cavity 290 longer than others. Here, however, tuning is accomplished by selective activation of microactuator 15 250, which moves second mirror 270 horizontally and thereby changes the length of cavity 290 by a desired distance ( $\Delta d$ ). Changing the length of cavity 290 induces a resonance point shift on the GTE. This, in turn, changes the mode number and/or the intra-channel dispersion slope of the DCB in which the GTE is operative.

20 Other tuning methods are possible, such as the introduction of electric field into the environment in which one or more GTEs are operative to induce a

change in the refractive index of one or more GTE cavities and a consequent resonance point shift.

An important aspect of the present invention is to be able to translate changes in the mode number and/or intra-channel dispersion slope induced 5 within individual ones of DCBs 122, 124 by, for example, thermal, microactuator-based, or electric field tuning, into desired changes in the inter-channel dispersion slope of DEM 120. This is accomplished, in a preferred embodiment, through (i) mode hopping or (ii) symmetric intra-channel dispersion slope adjustment with mode mismatch. Mode hopping will be illustrated in a preferred 10 embodiment by reference to Figures 3A through 3C. Symmetric intra-channel dispersion slope adjustment with mode mismatch will be illustrated in a preferred embodiment by reference to Figures 4A through 4C.

In Figure 3A, the individual chromatic dispersion profiles of DCB 122 (CD1) and DCB 124 (CD2), and the sum thereof (CD SUM), are shown when the 15 DCB 122 and DCB 124 are operative on the same mode (M). As can be seen, the profiles of DCB 122 and DCB 124 apply a substantially equal and opposite dispersion slope to incident light at each operational bandwidth (i.e. channel), resulting in induction of a net zero, or substantially zero, intra-channel dispersion slope on each operational bandwidth. Moreover, since the DCB 122 20 and DCB 124 are operative on a common mode number, the profiles of DCB 122 and DCB 124 also apply a substantially equal and opposite dispersion to incident

light at each operational bandwidth, resulting in a net zero, or substantially zero, inter-channel dispersion slope across the operational bandwidths.

In Figure 3B, the individual chromatic dispersion profiles of DCB 122 (CD1) and DCB 124 (CD2), and the sum thereof (CD SUM), are shown when the  
5 DCB 122 and DCB 124 are operative on different mode numbers ( $M-m$  and  $M$ , respectively). In particular, DCB 122 has been tuned to, i.e. "hopped" to, a lower mode ( $M-m$ ) such that DCB 122 and DCB 124 share only one resonance point. As can be seen, the profiles of DCB 122 and DCB 124 still induce a substantially equal and opposite dispersion slope on incident light at each  
10 operational bandwidth, resulting in inducement of a net zero, or substantially zero, intra-channel dispersion slope at each operational bandwidth. However, since the DCB 122 and DCB 124 are operative on different mode numbers, the profiles of DCB 122 and DCB 124 now induce an opposite but unequal dispersion at each operational bandwidth away from the shared resonance point, resulting  
15 in a positive inter-channel dispersion slope across the operational bandwidths.

In Figure 3C, the individual chromatic dispersion profiles of DCB 122 (CD1) and DCB 124 (CD2), and the sum thereof (CD SUM), are shown when the DCB 122 and DCB 124 are operative on different mode numbers ( $M+m$  and  $M$ , respectively). In particular, DCB 122 has been tuned to a higher mode ( $M+m$ )  
20 such that DCB 122 and DCB 124 share only one resonance point. As can be seen, the profiles of DCB 122 and DCB 124 still induce a substantially equal and opposite dispersion slope on incident light at each operational bandwidth,

resulting in induction of a net zero, or substantially zero, intra-channel dispersion slope at each operational bandwidth. However, since the DCB 122 and DCB 124 are operative on different mode numbers, the profiles of DCB 122 and DCB 124 now induce an opposite but unequal dispersion on each operational  
5 bandwidth away from the shared resonance point, resulting in a negative inter-channel dispersion slope across the operational bandwidths.

Turning to Figure 4A, the individual chromatic dispersion profiles of DCB 122 (CD1), DCB 124 (CD2), and the sum thereof (CD SUM), are shown when the DCB 122 is operative on a mode ( $M+m$ ), the DCB 124 is operative on a lower  
10 mode ( $M$ ), and the intra-channel dispersion slopes of DCBs 122, 124 are of medium magnitude. The situation resembles that shown in Figure 3C. The profiles of DCB 122 and DCB 124 induce a substantially equal and opposite dispersion slope on incident light at each operational bandwidth, resulting in induction of a net zero, or substantially zero, intra-channel dispersion slope at  
15 each operational bandwidth. However, since the DCB 122 and DCB 124 are operative on different mode numbers, i.e. there is "mode mismatch," the profiles of DCB 122 and DCB 124 induce an opposite but unequal dispersion on each operational bandwidth away from the shared resonance point, resulting in a negative inter-channel dispersion slope across the operational bandwidths.  
20 Moreover, the steepness of the inter-channel dispersion slope may be characterized as medium owing to the medium magnitude of the individual intra-channel dispersion slopes of DCBs 122, 124.

In Figure 4B, the individual chromatic dispersion profiles of DCB 122 (CD1), DCB 124 (CD2), and the sum thereof (CD SUM), are shown when the DCB 122 is operative on a mode ( $M+m$ ), the DCB 124 is operative on a lower mode ( $M$ ), and the intra-channel dispersion slopes of DCBs 122, 124 are of large magnitude. In particular, DCB 122 and DCB 124 have been symmetrically tuned to increase the steepness of their dispersion slopes equally and oppositely. The profiles of DCB 122 and DCB 124 still induce a substantially equal and opposite dispersion slope on incident light at each operational bandwidth, resulting in induction of a net zero, or substantially zero, intra-channel dispersion slope on each operational bandwidth. However, since the DCB 122 and DCB 124 are operative on different mode numbers, the profiles of DCB 122 and DCB 124 induce an opposite but unequal dispersion at each operational bandwidth away from the shared resonance point, resulting in a negative inter-channel dispersion slope across the operational bandwidths. Moreover, the steepness of the inter-channel dispersion slope may be characterized as large owing to the large magnitude of the individual intra-channel dispersion slopes of DCBs 122, 124.

Finally, in Figure 4C, the individual chromatic dispersion profiles of DCB 122 (CD1), DCB 124 (CD2), and the sum thereof (CD SUM), are shown when the DCB 122 is operative on a mode ( $M+m$ ), the DCB 124 is operative on a lower mode ( $M$ ), and the intra-channel dispersion slopes of DCBs 122, 124 are of small magnitude. In particular, DCB 122 and DCB 124 have been symmetrically tuned to decrease the steepness of their dispersion slopes equally and oppositely. The

profiles of DCB 122 and DCB 124 still induce a substantially equal and opposite dispersion slope on incident light at each operational bandwidth, resulting in inducement of a net zero, or substantially zero, intra-channel dispersion slope on each operational bandwidth. However, since the DCB 122 and DCB 124 are  
5 operative on different mode numbers, the profiles of DCB 122 and DCB 124 induce an opposite but unequal dispersion at each operational bandwidth away from the shared resonance point, resulting in a negative inter-channel dispersion slope across the operational bandwidths. Moreover, the steepness of the inter-channel dispersion slope may be characterized as small owing to the small  
10 magnitude of the individual intra-channel dispersion slopes of DCBs 122, 124.

Although DEM 120 and its constituent DCBs 122, 124 have been described and illustrated as cooperative with DCF 110 within the dispersion compensation system shown in Figure 1, DEM 120 may operate independently of any other dispersion compensation element. For example, DEM 120 may operate on  
15 incident light having zero dispersion and/or zero dispersion slope and generate a positive or negative dispersion and/or dispersion slope on the light "as needed." It will therefore be appreciated by those of ordinary skill in the art that the invention may be embodied in other specific forms without departing from the spirit or essential character hereof. The present description is therefore  
20 considered in all respects to be illustrative and not restrictive. The scope of the invention is indicated by the appended claims, and all changes that come within

the meaning and range of equivalents thereof are intended to be embraced  
therein.